

Notice of Allowability

Application No.

09/955,164

Examiner

Huyen Vo

Applicant(s)

MIYAZAWA, YASUNAGA

Art Unit

2655

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to 9/1/2004.
2. ☒ The allowed claim(s) is/are 1 and 2.
3. ☒ The drawings filed on 19 September 2001 are accepted by the Examiner.
4. ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 - a) ☒ All b) ☐ Some* c) ☐ None of the:
 1. ☒ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

* Certified copies not received: _____.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

5. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
 6. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
 - (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
 - 1) ☐ hereto or 2) ☐ to Paper No./Mail Date _____.
 - (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____.
- Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
7. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the _____ attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

1. ☒ Notice of References Cited (PTO-892)
2. ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3. ☐ Information Disclosure Statements (PTO-1449 or PTO/SB/08),
Paper No./Mail Date _____
4. ☐ Examiner's Comment Regarding Requirement for Deposit
of Biological Material
5. ☐ Notice of Informal Patent Application (PTO-152)
6. ☐ Interview Summary (PTO-413),
Paper No./Mail Date _____
7. ☐ Examiner's Amendment/Comment
8. ☒ Examiner's Statement of Reasons for Allowance
9. ☐ Other _____

DETAILED ACTION

EXAMINER'S AMENDMENT

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it **MUST** be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Mr. Gang Luo on 1/18/2005. The application has been amended as follows: claims 3-4 are cancelled.

Allowable Subject Matter

2. Claims 1-2 are allowed.

3. The following is an examiner's statement of reasons for allowance: prior art of record, Yamamoto et al. (US Patent No. 5970445) teach a method for recognizing speech by using equal division quantization in which input speech is acoustically analyzed and the analysis result is quantized based on the output probability distribution of statistic models of speech. Yamamoto et al., however, fail to specifically disclose a method using a noncorrelative normal distribution as an output-probability distribution for speech recognition, in which, when an input vector at a time is represented by Y (Y has n -dimensional components, and n is a positive integer), dispersion in k dimensions (k is any natural number from 1 to n) in the case of states i to j is represented by $\sigma_{ij}(k)$, and an average in the k dimensions in the case of states i to j is

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represented by $\mu_{ij}(k)$, a formula for finding the output probability being provided by:

expression 1: $b_{ij}(Y) = \frac{1}{k} = \frac{1}{n} \left(\frac{1}{2} \sum_{k=1}^n \mu_{ij}(k)^2 \right)^{1/2} e^{-k} = \frac{1}{n} \left[\sum_{k=1}^n \mu_{ij}(k)^2 \right]^{1/2} e^{-k} \quad (1)$

using the logarithms of both sides thereof, by: expression 2: $2 \log x b_{ij}(Y) = \log x \left[\frac{1}{k} = \frac{1}{n} \left(\frac{1}{2} \sum_{k=1}^n \mu_{ij}(k)^2 \right)^{1/2} \right] A - k = \frac{1}{n} \left[\sum_{k=1}^n \mu_{ij}(k)^2 \right] \log x e^{-k} \quad (2)$

reducing the number of calculations of a calculation term represented by $\left[\sum_{k=1}^n \mu_{ij}(k)^2 \right]^{1/2}$ in expression (2) by: creating code books in

which the values in dimensions of input vector Y at a time are represented by sets of

representative values; substituting the values in the dimensions (first to n-th

dimensions) of input vector Y at said time by codes existing in said code books; finding,

based on the codes, the calculation term represented by $\left[\sum_{k=1}^n \mu_{ij}(k)^2 \right]^{1/2}$ in expression (2) by referring to tables; Also, it is not obvious to

one of ordinary skill in the art at the time of invention to modify Yamamoto et al. by

incorporating the method of the claimed invention. Therefore, claims 1-2 are allowed.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Huyen Vo whose telephone number is 703-305-8665.

The examiner can normally be reached on M-F, 9-5:30.


If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Doris To can be reached on 703-305-4827. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Examiner Huyen X. Vo

November 30, 2004


SUSAN MCFADDEN
PRIMARY EXAMINER